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A Practical Approach to Arc Flash Hazard Analysis and Reduction

White Paper

By:

H. Wallace Tinsley III

Member, IEEE
Eaton Electrical
130 Commonwealth Drive
Warrendale, PA 15086

Michael Hodder

Member, IEEE
Eaton Electrical
4120B Sladeview Cres
Mississauga, ON L5L 5Z3

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ABSTRACT

Recent efforts to quantify the dangers associated with potential arc flash hazards rely on overcurrent protection to remove a given fault condition. The effectiveness of various devices is determined by a clearing time related to the maximum available fault current for each system location. As industrial and commercial facilities begin to embrace arc flash labeling procedures and begin to recognize arc flash prevention as a part of a complete safety program, the current method of calculation will allow them to quantify the incident energy (cal/cm^2) associated with a maximum, three-phase fault condition. Most faults produce current magnitudes less than the three-phase maximum. This paper will consider fault current magnitudes less than that of the maximum, three-phase condition and discuss the resulting calculations for incident energy across the range of current magnitudes. Under these additional scenarios, the performance of various overcurrent protection devices will be demonstrated. Associated considerations for design, modeling, and maintenance will be presented.

I. INTRODUCTION

Extensive research and experimentation have led to the development of detailed calculation methods for determining the magnitude and intensity of Arc Flash Hazards. These methods have been presented in IEEE Standard 1584™ – 2002 and the NFPA 70E – 2000 Edition. Recommended practice now requires that the incident energy due to an Arc Flash be quantified at each system location potentially accessed by authorized personnel while the equipment is energized.

This requirement suggests the need for a thoughtful understanding of the power system and the methods of calculation. This paper provides a framework of considerations on which to base the methods of calculation presented in the most current standards. These considerations include worstcase scenarios, data collection for analysis, design concerns, and maintenance.

A. Standards

NFPA 70E-2000 Edition, Table 3-3.9.1 requires facility personnel to wear Personal Protective Equipment (PPE) when performing various tasks in locations susceptible to potential Arc Flash Hazards.[1] These requirements are mandated on the basis of field experience and are categorized by associated voltage levels. The Hazard/Risk Category is determined by the nature of the work to be completed, the operating voltage, and the available short circuit current for that general location in the electrical distribution system. The Hazard/Risk Category refers to the appropriate protective clothing and personal protective equipment (PPE) to be utilized.

In 2002, the IEEE reported the results of extensive laboratory experiments and calculations. IEEE Standard 1584™ – 2002 describes the procedures and provides direction for an accurate means of determining a safe Arc Flash Boundary and associated Hazard Level.

The basis for this method is experimental data recorded from simulated arcs corresponding to bolted, three-phase fault current magnitudes measured at the terminals of an experimental enclosure.

B. Arc Flash Analysis

The Arc Flash analysis requires the completion of a Short Circuit Study and a Coordination Study. The results of the Arc Flash calculations are based on the calculated values of fault current magnitudes found in the short circuit study and the associated clearing times of overcurrent protection devices as determined by the coordination study.

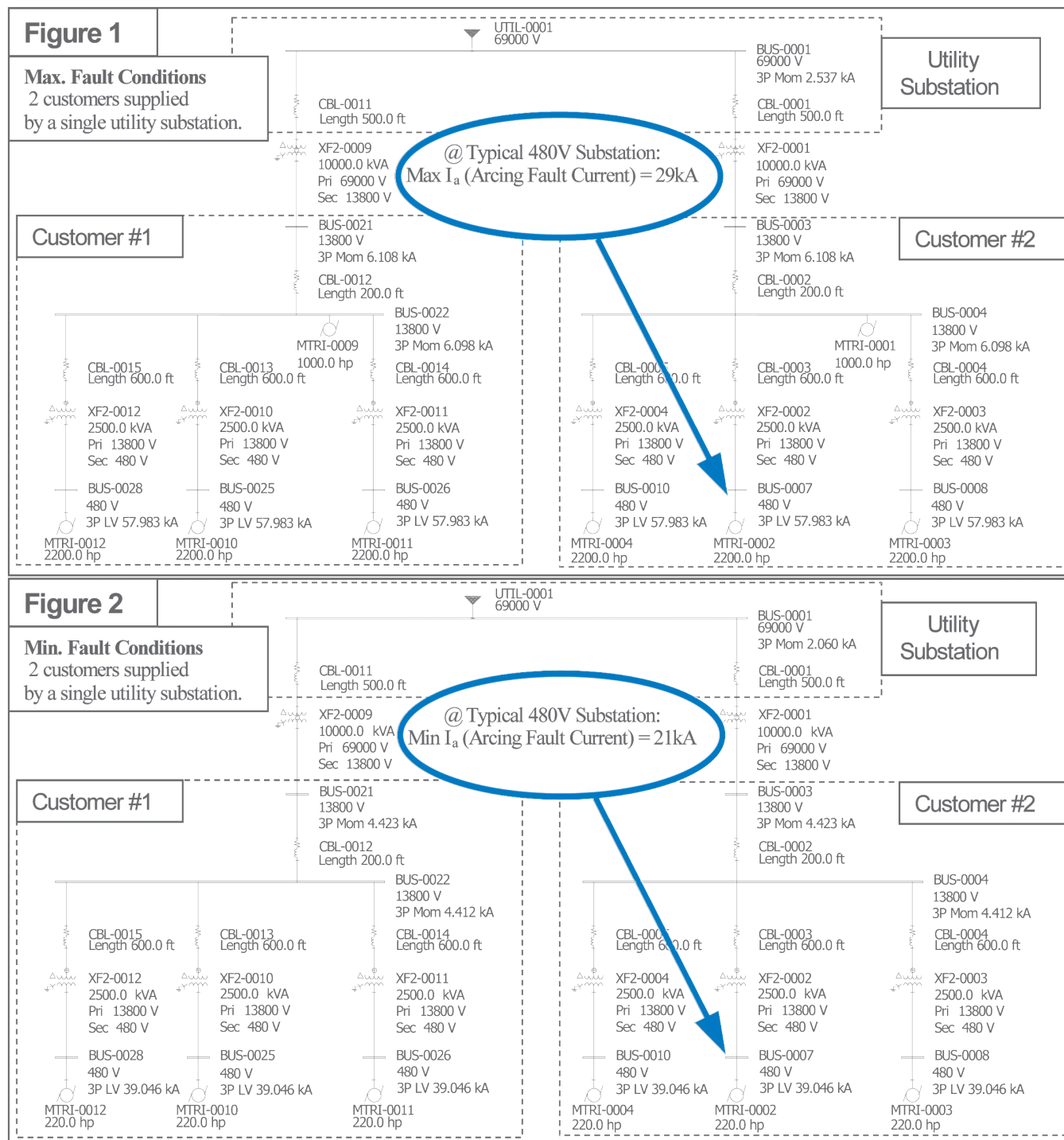
The goal of this type of analysis is to determine the incident energy potentially present during an arc flash event. The magnitude of the incident energy is calculated on the basis of the available fault current, the clearing time of associated system protection, and the physical parameters of the system location. Associated with this calculation is the determination of an approach distance within which the incident energy level is above 1.2 cal/cm^2 . "Appropriate Personal Protection Equipment (PPE) shall be used when working on or near energized equipment within the flash protection boundary." [1]

The results of the approach boundary and incident energy calculations may be displayed in labels on equipment enclosures to inform and direct facility personnel with respect to the potential arc flash hazard.

II. GENERALIZATION OF ARC FLASH

For proper evaluation of a power system with respect to potential Arc Flash hazards, accurate generalization of these hazards is imperative to describe the worst-case scenario. To understand the worst-case

conditions, one must relate potential fault magnitudes to the clearing time associated with various overcurrent devices.



Figures 1 & 2

A. Fault Magnitudes

IEEE Standard 1584™–2002 cautions, “it is important to determine the available short-circuit current for modes of operation that provide both the maximum and the minimum available short-circuit currents.” [2] The importance of this statement is demonstrated when an off-peak maintenance scenario is compared with the full load operating condition. A hazardous arc flash condition may arise from various causes, and often occurs during maintenance. Maintenance tasks are often performed at times when the facility and/or its processes are not fully operational. Although the power system is energized, some of the contributing motor loads may be shut down. Therefore, during maintenance operations, when the propensity for arc flash conditions is high, the available fault current may be significantly lower than the calculated maximum.

To demonstrate the effect of various scenarios, we have modeled a sample system that represents three facilities that are supplied by a single utility substation. A portion of this system is shown in Figures 1 and 2. In the Figure 1, all contributing motors are in service and the utility contribution is at a maximum. In Figure 2, the low voltage motors have been reduced to 10% of full-load and the medium voltage motors have been removed. The same is true for the adjacent neighbors and the total fault contribution at a typical 480V substation is significantly decreased. The magnitude of the available bolted fault current is decreased from Figure 1 to Figure 2 by approximately 30%. The arcing current is also reduced by approximately 30% between the two figures. For the calculation of incident energy, we should consider the range defined by this minimum calculation and this maximum calculation for any given location.

B. Constant Energy

By the method presented in IEEE Standard 1584™–2002, incident energy (E) is calculated for specific system locations. This calculated value of energy is determined by the physical environment at the given location and the duration of a previously calculated magnitude of arcing fault current. The duration of the fault condition is dependent on the clearing time of the upstream overcurrent protection. This clearing time is determined by the actual magnitude of arcing fault current for a given occurrence.

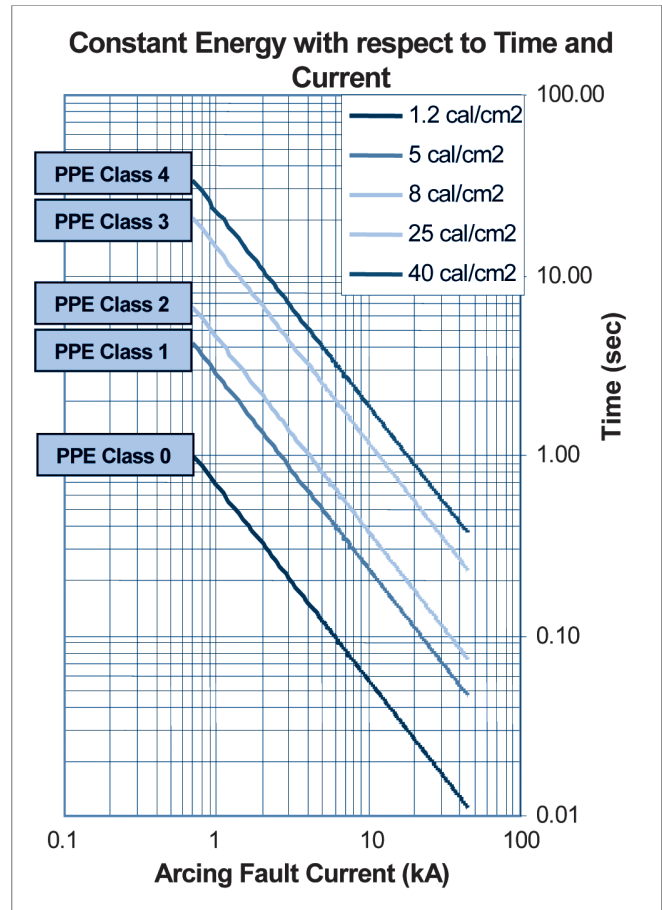


Figure 3

For a given location, there exists a series of potential arcing fault current magnitudes and theoretical clearing times for which incident energy remains constant. Several of these series are shown in Figure 3. On a log-log plot, these combinations of constant energy points with respect to time and current appear as a linear line segments. For a typical low-voltage, grounded, enclosed substation, these selected lines correspond to the PPE classes outlined in IEEE Standard 1584™–2002. The lowest line shown in Figure 3 represents a constant energy of 1.2 cal/cm^2 . This corresponds to the upper limit of PPE Class 0. The uppermost line represents the maximum value of 40 cal/cm^2 for which PPE Class 4 provides sufficient protection. Above this line, no PPE class has been

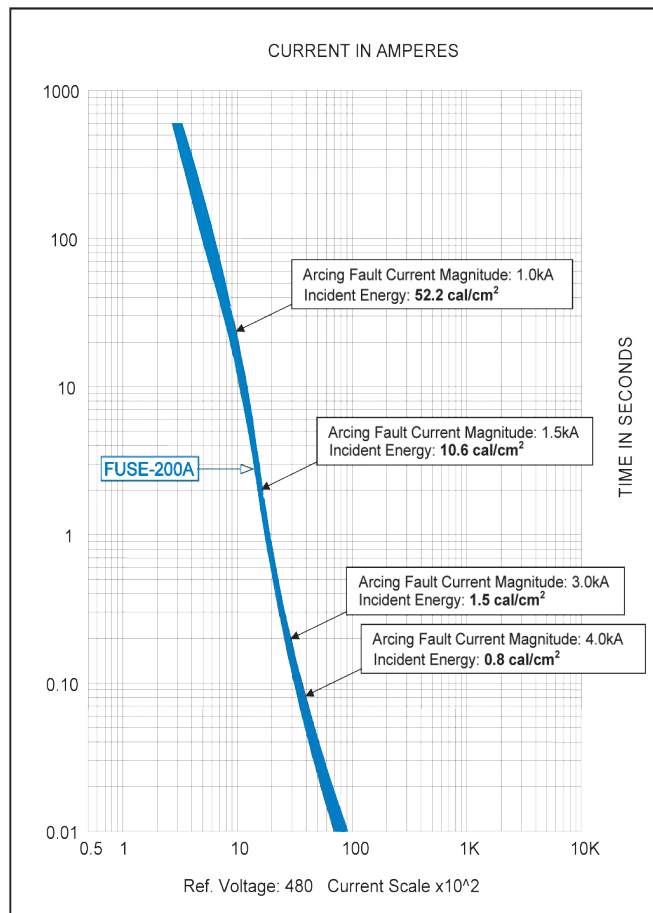


Figure 4

C. Overcurrent Device Responses

For the majority of system locations that are protected by a fuse, the minimum available arcing fault current is the basis of the worst-case calculation for incident energy. (See Figure 4.)

For a system location protected by a circuit breaker, the worstcase calculations vary with the regions of the clearing characteristic. When the considered range of fault current magnitudes falls completely within any region of the timecurrent curve (TCC) across which the time remains constant, the maximum available fault current will result in the calculation of the worst-case incident energy. Such regions include definite-time relays and definite-time delay regions of electronic trip unit characteristics. (See Figure 5.) For regions of the TCC where the tripping characteristic is inverse or based on the I^2t or I^4t model, the lower arcing fault values will correspond to longer clearing times; resulting in the worst-case scenario. (See Figure 5.)

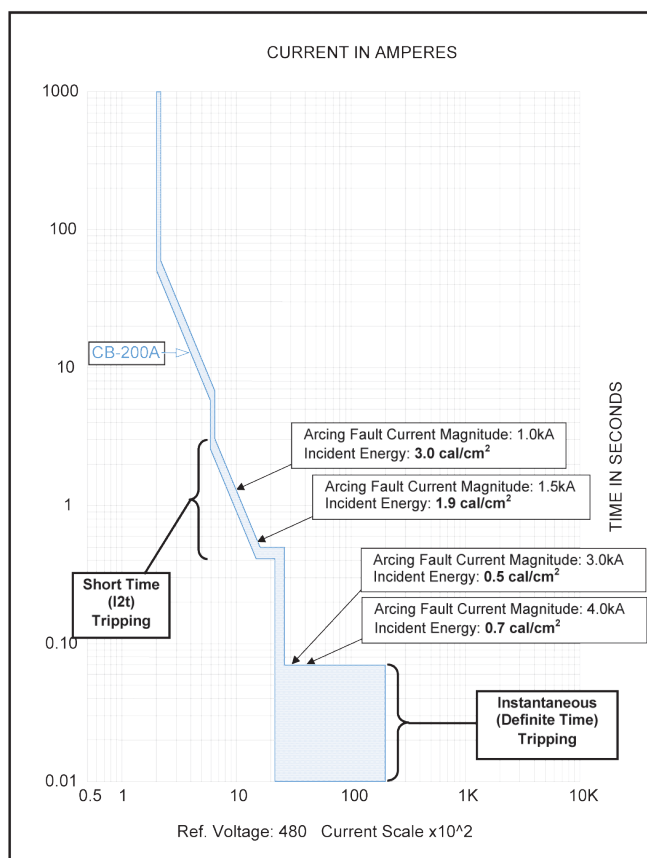


Figure 5

III. TIME, CURRENT, & ENERGY RELATIONSHIP

A. Relationship Equations

In order to demonstrate the worst-case arc-flash scenario across a given range of arcing fault currents, constant-energy lines can be plotted on the TCC plot in conjunction with tripping characteristics of various devices.

For voltage levels less than 15kV, the IEEE 1584™ – 2002 presents the equation for incident energy as shown in Equation (1) [2]. The values for the variables shown in this equation are presented in Table 1. In equation (1), the units of energy are Joules/cm². Equation (2) shows Equation (1) algebraically rearranged in order to calculate values for time with respect to a given set of parameters. The conversion factor between Joules and calories has also been included so that the units of Energy (E) in Equation (2) are cal/cm².

Equation (1):

$$E = (4.184) \cdot C_f \cdot 10^{[K_1 + K_2 + 1.081 \cdot \log [I_a] + 0.0011G]} \cdot [t/0.20] \cdot [610^x/D^x]$$

Where:

E	is energy in Joules/cm ² .
C _f	is a calculation factor, equal to 1.0 for voltages above 1kV and 1.5 for voltages at or below 1kV.
K ₁	is -0.792 for open configurations (no enclosure) and is -0.555 for closed configurations (enclosed).
K ₂	is 0 for ungrounded and HRG systems and is -0.133 for grounded systems.
I _a	the magnitude of the arcing fault current (kA) that may be determined according to IEEE 1584™ – 2002, equation (1).
G	is the gap between conductors (mm).
t	is the duration of the arc (seconds).
x	is the distance exponent.
D	is the distance from the arc to the worker (mm).

Equation (2):

$$t = \frac{E \cdot (0.20) \cdot 4.1667}{(4.184) \cdot C_f \cdot 10^{[K_1 + K_2 + 1.081 \cdot \log [I_a] + 0.0011G]} \cdot [610^x/D^x]}$$

Where:

t	is the duration of the arc (seconds).
E	is energy in cal/cm ² .
C _f	is a calculation factor, equal to 1.0 for voltages above 1kV and 1.5 for voltages at or below 1kV.
K ₁	is -0.792 for open configurations (no enclosure) and is -0.555 for closed configurations (enclosed).
K ₂	is 0 for ungrounded and HRG systems and is -0.133 for grounded systems.
I _a	the magnitude of the arcing fault current (kA) that may be determined according to IEEE 1584™ – 2002, equation (1).
G	is the gap between conductors (mm).
x	is the distance exponent.
D	is the distance from the arc to the worker (mm).

Equation (3) shows the linear relationship between time and arcing current with respect to a given energy and specific system parameters shown in Table 1. With the aid of curvefitting software [3], this relationship was found consistent for all system configurations considered.

Equation (3):

$$t = k \cdot (I_a)^b$$

Where:

t	is time in seconds.
k	is a unique constant based on specific system parameters (See Table 1 for a summary and the discussion below for details.)
I _a	is the magnitude of arcing fault current.
b	is a constant value = -1.081.

A Practical Approach to Arc Flash Hazard Analysis and Reduction

Constant k is determined for each system location according to system parameters and a distance factor related to the equipment type and the system location voltage.

This determination was made according to the following steps:

1. For each system location considered, a finite series of time-current ordered pairs (I_a , t) was found, for which incident energy remains constant. (See Equation (1) and Figure 3)
2. This series of ordered pairs (I_a , t) was provided as input for the curve-fitting software [3].
3. The resulting time versus current plot was consistently fitted with a curve of the form shown in Equation (3). The constant b (-1.081) remained constant regardless of the system parameters. The constant k was found to be unique for each new set of parameters.

The system parameters are shown in Table 1 and include: system voltage, equipment type, bus gap (mm), working distance (mm), enclosure configuration, and grounding. For some typical system locations, Table 1 shows the resulting values for the unique constant k .

With a point defined on a TCC plot by the magnitude for arcing fault current and the associated clearing time for a specific device; it is useful to define a corresponding line that represents all combinations of time and arcing current for which energy remains constant with respect to the given point. This line on the TCC plot is called a C-line, and the points (I_a , t) along this line of constant energy can be defined by the constant C in Equation (4).

System Voltage (kV)	Calculation Factor (C_f)	Equipment Type	Gap (G) (mm)	Distance factor (x)	Working Distance (D) (mm)	Enclosure Configuration (K_1)	Grounded or Ungrounded (K_2)	Unique Constant (k)
0.208 - 1	1.5	Open Air*	10	2.000	455	-0.792	-0.113	0.6945
							0	0.5354
			40	2.000	455	-0.792	-0.113	0.6437
							0	0.4962
		Switchgear	32	1.473	610	-0.555	-0.113	0.6841
							0	0.5274
		MCC and Panels	25	1.641	455	-0.555	-0.113	0.4304
							0	0.3318
		Cable	13	2.000	455	-0.792	-0.113	0.6893
							0	0.5314
>1 - 5	1.0	Open Air	102	2.000	455	-0.792	-0.113	0.8252
							0	0.6362
		Switchgear*	13	0.973	910	-0.555	-0.113	1.5890
							0	1.2250
			102	0.973	910	-0.555	-0.113	1.2683
							0	0.9778
		Cable	13	2.000	455	-0.792	-0.113	1.0339
							0	0.7970
			13	2.000	455	-0.792	-0.113	1.0339
							0	0.7970
>5 - 15	1.0	Open Air*	13	2.000	455	-0.792	-0.113	0.7252
							0	0.5591
			153	2.000	455	-0.792	-0.113	1.1146
							0	0.8593
		Switchgear	153	0.973	910	-0.555	-0.113	1.0339
							0	0.7970
		Cable	13	2.000	455	-0.792	-0.113	1.0339
							0	0.7970
		Open Air*	13	2.000	455	-0.792	-0.113	1.0339
							0	0.7970

* Minimum and maximum values are shown for a range of typical bus gaps (G).

System parameter values are based on IEEE Standard 1584™-2002, Tables 2, 3, & 4.

Table 1

Equation (4):

$$C = \frac{t}{k \cdot (I_a)^{-1.081}}$$

For a given system location (defined by k), C is a unique constant describing the relationship of a finite series of time and current combinations for which energy remains constant. For increasing energy, C is also increasing. Using this relationship, any two regions on a TCC can be compared to determine the “worst-case” scenario.

Consider the clearing time for both the maximum and minimum fault conditions and let the ordered pair, (I_{a1}, t_1) , represent the maximum arcing fault current and the associated clearing time. Let the ordered pair, (I_{a2}, t_2) , represent the minimum arcing fault current and the associated clearing time. Compare as follows:

$$\text{Set } C_1 = \frac{t_1}{k \cdot (I_{a1})^{-1.081}} \text{ and } C_2 = \frac{t_2}{k \cdot (I_{a2})^{-1.081}}$$

If $C_1 > C_2$, then $E_1 > E_2$ and vice versa. The larger value for C will correspond to the energy (E) greater value.

Using the relationship one can quickly determine the worstcase condition between any number of scenarios (time and arcing current) at a given location.

B. Software Application

On a standard time-current curve (TCC), software packages could use a location-specific *C-line* to provide a visual representation for the severity of several incident energy calculations within the range of possible arcing fault conditions at a given location. Provided with the values shown for k in Table 1, a *C-line* can be generated for each device with respect to the bus location immediately downstream or with respect to a selected bus downstream of several devices. To aid in overcurrent device coordination, the unique *C-line* will visually demonstrate which setting regions might be adjusted to reduce the arc flash hazard. Figure 6 shows the tripping characteristics of two devices. The electronic-trip circuit breaker is shown as the 480V main breaker of a typical unit substation. The fuse characteristic is representative of the primary device on the 13.8kV side of the source transformer.

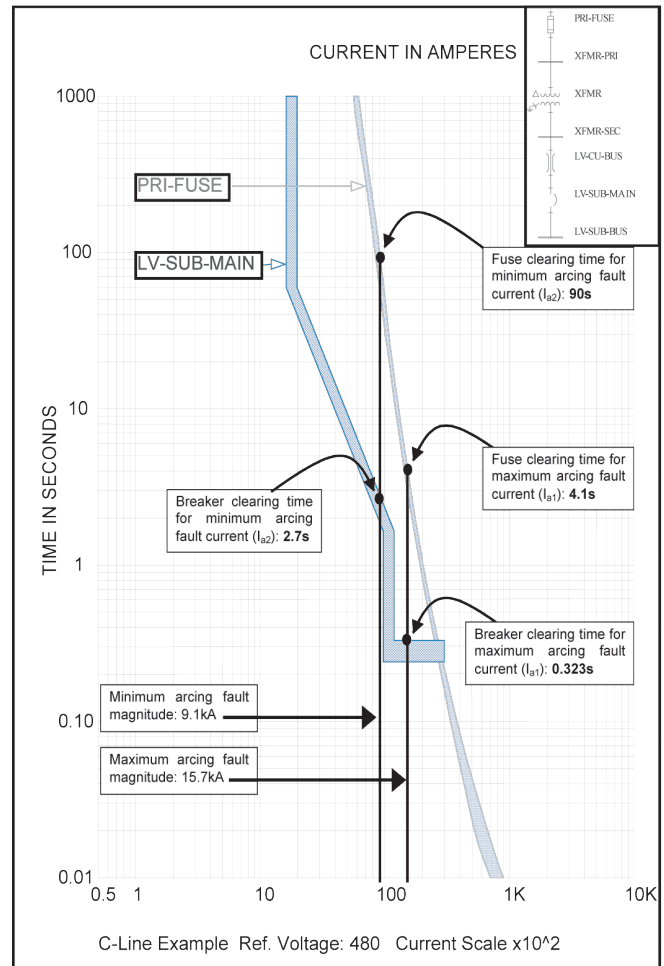


Figure 6

After determining maximum and minimum magnitudes of the available bolted fault currents at the substation bus, the corresponding arcing fault magnitudes can be calculated. These arcing fault current magnitudes are calculated according to IEEE Standard 1584™ – 2002 using specific system parameters. Given these parameters, the appropriate value for k may be selected from Table 1 or alternatively, k can be calculated for system parameters not found in the table.

In Figure 6, the maximum arcing fault current magnitude (I_{a1}) of 15.7kA is shown. For an arc flash event at the substation bus, the associated clearing time of the main breaker will be used to determine the incident energy for this ordered pair (I_{a1}, t_1). A clearing time of 0.323 seconds is shown for t_1 .

Similarly, for an arc flash event on the line side of the main breaker or the secondary terminals of the substation transformer, the incident energy is determined by the clearing time of the primary fuse. This point on the plot for the maximum arcing current and associated clearing time is shown as (15.7kA, 4.1s).

Figure 6 also shows the minimum magnitude of the available arcing fault current at the substation bus. This minimum value of available fault current ($I_{a2} = 9.1\text{kA}$) relates to the system operating scenario when motor contributions are the lowest. The time required to clear the potential arc flash event from the substation bus is 2.7 seconds, and is shown in the long-delay region of the circuit breaker trip unit. Likewise, for an event on the line side of the substation main breaker or the secondary terminals of the transformer, the time required for the primary fuse to clear the fault is found to be 90 seconds.

Using the points that correspond to the maximum value of arcing fault current, a unique *C-line* is drawn for each protective device characteristic in Figure 7. From Equation (4), the *C-line* for each device is determined with the value of k selected from Table 1 and the time-current pairs associated with the maximum available arcing fault current.

By visual inspection of Figure 7, it is shown that, for both protective devices, the greatest incident energy is present under the minimum fault condition. This is evident because the point on the tripping characteristic of each device that is associated with the minimum arcing fault magnitude is shown above the *C-line* that passes through the similar point associated with the maximum available fault current. Each time-current point on a TCC that is above a given *C-line* has a corresponding value for incident energy (E) that is greater than the value of incident energy (E) associated with all points shown on or below this *C-line*.

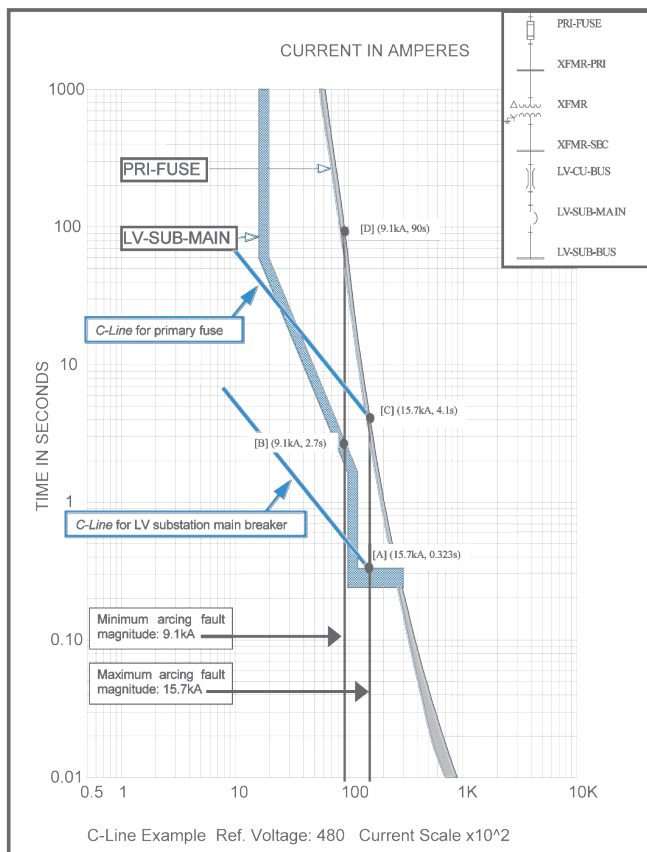


Figure 7

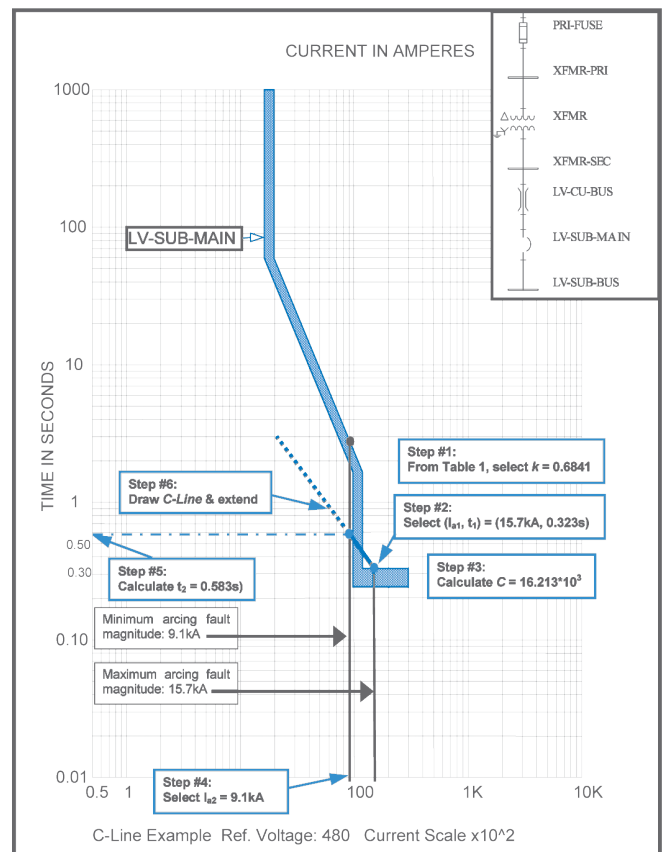


Figure 8

The plotting of the C-Line line may be automated within a software package, but can be quickly plotted, by hand. For the main substation breaker in this particular scenario, the following steps are demonstrated in Figure 8:

1. For a typical, solidly grounded, low voltage switchgear location, select the value for k from Table 1: 0.6841.

The tripping characteristic of the main breaker is plotted in Figure 8. The breaker's maximum clearing time at the maximum arcing fault current of 15.7kA is shown to be 0.323 seconds.

2. Select (I_{a1} , t_1) to correspond to the maximum arcing fault current and the associated clearing time: (15.7kA, 0.323).
3. Calculate C from Equation (4):

$$C = \frac{t}{k \cdot (I_a)^{-1.081}} \Leftrightarrow C = \frac{0.323}{0.6841 \cdot (15.7 \cdot 10^3)^{-1.081}} \Leftrightarrow C = 16.213 \cdot 10^3$$

Remember, C is only an energy specific constant.

4. Select I_{a2} : 9.1kA

This value can be any other current value on the plot, but it is convenient to use the calculated minimum value.

5. Calculate t_2 using Equation (4):

$$C = 16.213 \cdot 10^3 = \frac{t_2}{0.6841 \cdot (9.1 \cdot 10^3)^{-1.081}} \Leftrightarrow t_2 = 0.583s$$

6. Connect the two points with a line segment.

This is the C-Line associated with the substation main breaker.

Using the C-Line in Figure 8, one can visually observe that the minimum arcing fault condition has a higher incident energy than the maximum arcing condition. Following the determination of the worst-case scenario, system changes may be recommended or specified to reduce the incident energy potentially present at the substation bus.

Figure 9 shows a change in settings for the main breaker and a change in fuse type for the primary device. In both cases, the original C-lines are still shown for comparison. For the electronic-trip circuit breaker, the maximum magnitude for arcing fault current now corresponds to with the worst-case scenario. For the primary fuse, the minimum magnitude for arcing fault current remains the worst-case

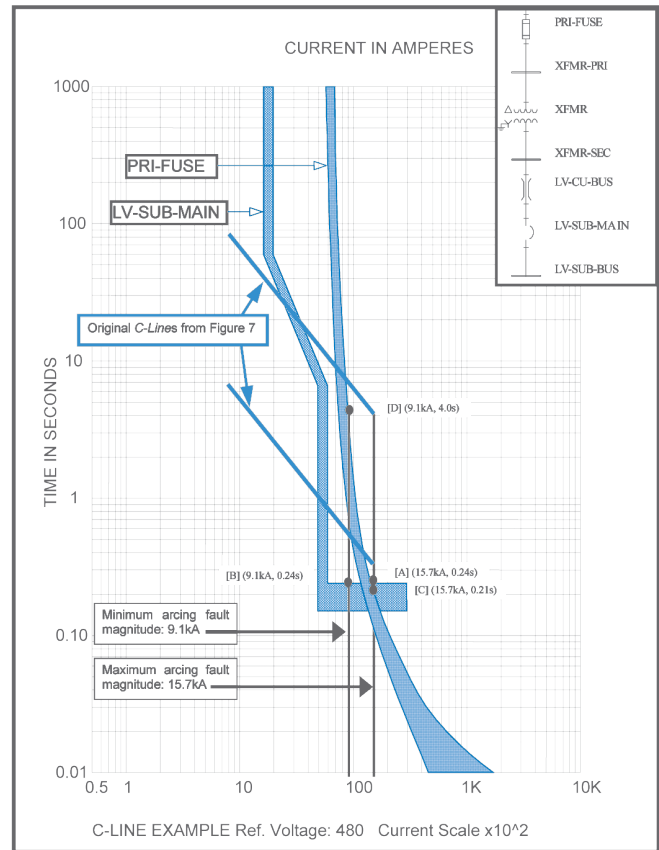


Figure 9

scenario, but the potential incident energy has been reduced in comparison to the primary fuse type that is shown in Figures 6 and 7. Figures 6 to 9 represent only one specific scenario. Coordination with upstream and downstream devices, the protection of the transformer damage curve, and other considerations have not been plotted or included in this example. The results of this method of analysis will vary for each system location studied.

In addition to this visual tool, software packages should be able to provide a comparison between multiple scenarios, cases, or revisions of a project. At the user's discretion, the system could be analyzed according to the maximum available fault current and with respect to the minimum available fault currents. The resulting energy levels for each location could be compared between the two scenarios and the resulting worstcase calculations could be reported and used for the arc flash labeling of the equipment.

IV. SYSTEM MODELS & ANALYSIS

Successful determination of the appropriate range of fault magnitudes requires careful consideration during the development of the system model.

A. Data Collection

In order to provide accurate calculations for incident energy, the system data should be as accurate as possible. System cables should be modeled with accurate lengths.[2] For existing equipment, this distance cannot be measured, but must be estimated. The more accurately this distance is estimated, the more accurately the equipment can be labeled to protect facility personnel. Transformer impedances should be modeled according to nameplate impedance values. While design impedances may differ only slightly, the smallest variation of available fault current may significantly effect the magnitude of the incident energy calculated for a given location. Contributions from utility substations may fluctuate during various switching scenarios or maintenance procedures. A range of possible contributions should be obtained and evaluated to facilitate the determination of the worst-case scenario.

B. Unbalanced Faults

Of the various types of fault geometry a power system may experience – 3-phase, line-to-line, single-line-to-ground, and double-line-to-ground; single-line-to-ground faults are the most common.[4] For a given power system, the magnitudes of these various fault conditions may be calculated. While extensive tests have only described the magnitude of the arcing fault currents associated with 3-phase faults, we do understand that hazardous arcing conditions can occur under unbalanced faults. As a “rule of thumb” IEEE Std 141-1993 describes the arcing current magnitudes with respect to the calculated 3-phase fault current.[5] The magnitudes of unbalanced arcing faults are significantly lower than those arcs associated with 3-phase faults. As previously noted, the worst-case scenario may be represented by the fault condition supplying the maximum magnitude of fault current to a location or it may be the minimum magnitude condition that results in the most dangerous calculation with respect to incident energy. While many unbalanced faults may escalate, after some interval of time, to a 3-phase fault, requirements for personnel protection should be established on the worst-case scenario. While no standard currently provides direction in this area, the associated hazards should not be ignored and continued research and testing should further quantify these conditions.

C. Analysis Philosophy

In order to perform a careful arc flash hazard analysis, the generally accepted approaches to short circuit and coordination studies should be modified. With respect to a device evaluation study, maximum available fault currents are always considered.[6] For this type of study, “extreme precision [in data collection] is unnecessary.”[5] For arc flash hazard analysis, the safety of the facility personnel is directly related to the precision of the data collection. Inaccuracies and conservative assumptions during the collection of data may result in a compromised situation for workers for whom a particular Class of PPE has been prescribed.

The accepted philosophy for coordination of protective devices must also be reconsidered when performing an arc flash hazard analysis. For a coordination study “effort...is directed toward minimizing the impact of short circuits on system components and the industrial process the system serves.”[4] This is accomplished by considering the appropriate compromise between selectivity and system continuity and the careful analysis of the relationship between “primary and backup protection.” [4] With the additional analysis required for the reduction of potential arc flash hazards, personnel protection is now considered (in addition to system and equipment protection). Properly coordinated settings now require an appropriate compromise between selectivity, system continuity, and the greatest reasonable reduction of incident energy.

V. CONSIDERATIONS & SOLUTIONS

The challenge of reducing potential arc flash hazards; affords engineers, designers, and maintenance staff the opportunity to implement creative solutions to protect personnel. For new systems, the design process should be an integral component in the reduction of incident energy at various system locations. Transformer sizing and the thoughtful distribution of contributing loads will reduce the levels of available fault current throughout the system. Subsequently, the incident energy levels may be further reduced by properly specifying and sizing overcurrent protection. Current-limiting circuit breakers and fuses may be a possible solution if the arcing fault current causes them to operate in their current limiting range. Additional protection schemes, such as differential relays and zone-interlocking should be considered as a part of the system design. Engineers responsible for system studies should carefully utilize the available devices and thoughtfully consider the recommended sizing and settings for various means of system protection. Maintenance personnel may find creative solutions to allow for a more practical class of PPE. Remote racking equipment for breakers and small, pre-installed apertures for IR cameras are among the practical solutions currently available.

VI. CONCLUSIONS

In conclusion, the worst-case scenario for an Arc Flash Hazard cannot be stated with a simple generalization. Dependent on the clearing time characteristics of overcurrent devices, the worst-case scenario must be determined across the range of possible fault current magnitudes.

Continued research and testing will further enhance the understanding of the worst-case conditions for each system location. Unbalanced arcing faults present a particularly important area for continued research. Creative use of existing system protection devices provides some relief from the potential intensity of an arc flash hazard, and careful sizing and loading of equipment increases the safety of facility personnel. Research and development efforts should continue to develop arc flash protection capable of responding to the unique qualities of an arc flash condition. Until then, overcurrent protection is an effective means of reducing the intensity of arc flash hazards. Various tools, such as the constant energy *C-lines* presented in this paper, should be employed to aid engineers, designers, and facility personnel in the sizing and calibrating of overcurrent devices for the protection of equipment and personnel.

ACKNOWLEDGEMENT

The authors thank W. S. Vilcheck for his sponsorship, advice, and encouragement; and D. M. Wood, J. Rankin, and T. Dionise for their comments.

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